

hence, the nature of the allowed cathodic reaction) would be independent of the nature of the anodic reaction (and, hence, independent of V_B) since the energy level of E_c is pinned at the semiconductor-electrolyte interface (Figure 1). Furthermore, anodic reactions with redox potentials above that of E_c would not be effective in producing photoenhanced reduction. Therefore, the observation that N_2 reduction in the present system only occurs with aluminum anodes, and not with H_2/Pt anodes, means that the cathodic reaction is dependent on V_B , and strongly suggests that hot electron injection is occurring. This is consistent with theoretical calculations on the feasibility of hot carrier injection processes.⁶

It is to be noted that the important point of this work is not that N_2 can be fixed by reducing metals and metal complexes (this is well known⁷⁻⁹), but that interesting photocatalytic effects can be achieved on semiconducting electrodes, including the photoenhanced reduction of N_2 by aluminum, and that these effects appear to involve hot carrier injection processes.

Finally, it is also to be noted that the endoergic photoreduction of N_2 using H_2O (reaction 2) would be a very important achievement and that such a process has been claimed by Schrauzer and Guth¹⁰ using n-type oxide semiconductor powders. It would appear, however, that homogeneous n-type semiconductors would be extremely poor photocatalysts for reduction since electrons can only be injected into the liquid via tunneling through large and thick potential barriers. In such semiconductors, only holes are efficiently injected into the electrolyte. A second region with a larger work function in contact with the n-type semiconductor, such as that existing in a photochemical diode,¹¹ is required for both efficient electron and hole injection.

Acknowledgment. We thank John D. Witt for obtaining Fourier transform IR spectra of $^{15}NH_3$ and M. J. Markuson and C. E. Forbes for NH_4^+ analyses.

References and Notes

- (1) E. E. Van Tamelen and B. Akermark, *J. Am. Chem. Soc.*, **90**, 4492 (1968).
- (2) E. E. Van Tamelen and D. A. Seeley, *J. Am. Chem. Soc.*, **91**, 5194 (1969).
- (3) E. E. Van Tamelen, *Acc. Chem. Res.*, **3**, 363 (1970).
- (4) A. J. Nozik, *Annu. Rev. Phys. Chem.*, **29**, 189 (1978).
- (5) Ferd Williams and A. J. Nozik, *Nature (London)*, **271**, 137 (1978).
- (6) A. J. Nozik, D. S. Boudreaux, R. R. Chance, and Ferd Williams, *Adv. Chem. Ser.*, submitted for publication.
- (7) J. Chatt, A. J. Pearman, and R. L. Richards, *Nature (London)*, **253**, 39 (1975).
- (8) D. V. Sokolskii, Y. A. Dorfman, Y. M. Shindler, A. N. Sharopin, and V. S. Emel'yanova, *Russ. J. Phys. Chem.*, **46**, 1669 (1972).
- (9) N. T. Denisov, O. N. Efimov, N. I. Shuvalova, A. K. Shilova, and A. E. Shilov, *Russ. J. Phys. Chem.*, **44**, 1693 (1970).
- (10) G. N. Schrauzer and T. D. Guth, *J. Am. Chem. Soc.*, **99**, 7189 (1977).
- (11) A. J. Nozik, *Appl. Phys. Lett.*, **30**, 567 (1977).
- (12) Solar Energy Research Institute, Golden, Colo. 80401.

C. R. Dickson, A. J. Nozik*12

Corporate Research Center, Allied Chemical Corporation
Morristown, New Jersey 07960

Received July 3, 1978

Planar $^3A_2'$ - Orthogonal 1B_1 Energy Separation for Trimethylenemethane

Sir:

During the past few years, the previously mystical trimethylenemethane (TMM)¹ radical $C(CH_2)_3$ and its precursors have been the subject of an increasing number of experimental²⁻¹⁰ and theoretical¹¹⁻²⁰ studies. The most critical energetic quantity in the theoretical studies has been the barrier for rotation of singlet TMM from its "orthogonal" con-

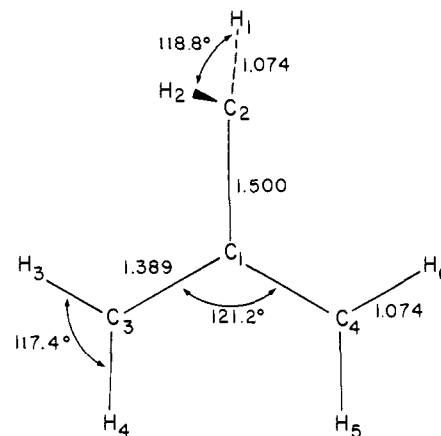
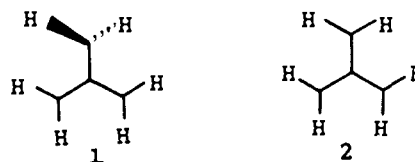
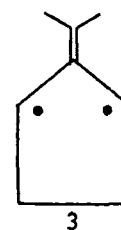


Figure 1. Predicted equilibrium geometry for the 1B_1 state of trimethylenemethane.

figuration (1B_1 electronic state) (1) to the energetically higher planar arrangement ($^1E'$ electronic state) (2). In this regard there now appears to be general agreement²¹ that the $^1E' - ^1B_1$ barrier to rotation is small, of the order of 1-3 kcal/mol.



As noted by Davidson,²² however, the singlet-triplet separation $\Delta E(^3A_2' - ^1B_1)$ has been predicted^{14,17-19} to be 17-21 kcal in a relatively "casual" manner, since no experimental ΔE value was available. In this light the recent experimental findings of Platz and Berson⁸ and Dowd and Chow⁹ are particularly thought provoking. For the TMM derivative 3 Platz and Berson deduce a value $\Delta E < 1.4-3.5$ kcal from their kinetic studies. After noting that zero-differential overlap considerations suggest that 3 should have a ΔE value similar to



that for the unsubstituted TMM, Platz and Berson⁸ conclude that there may be a serious disagreement between theory and experiment. The latter possibility is given further support by the work of Dowd and Chow,⁹ who report an activation energy of 7.3 ± 0.3 kcal for the ring closure of triplet TMM to methylenecyclopropane (MCP).

In the present communication we report a state-of-the-art ab initio study of the TMM singlet-triplet separation $\Delta E(^3A_2' - ^1B_1)$. Our first step was the prediction of the optimum geometrical structure of the orthogonal singlet, or 1B_1 state. Given the constraint of C_{2v} symmetry, the equilibrium geometry seen in Figure 1 was obtained at the self-consistent-field (SCF) level of theory²³ using a standard double ζ (DZ) basis set.²⁴ This singlet structure and that previously optimized²⁰ for the triplet ground state were used in all ensuing predictions of $\Delta E(^3A_2' - ^1B_1)$. The DZ SCF value of ΔE , seen in Table I, is 15.1 kcal, somewhat lower than any previous theoretical prediction, but still significantly higher than the $\Delta E < 7$ kcal value inferred from experiment. In this light, d functions were appended, first to the central carbon atom and then to all four carbon atoms. The predicted singlet-triplet separation is decreased only

Table I. Predicted Singlet-Triplet Separations for Trimethylenemethane

level of theory	$E(^3A_2')$, hartrees	$\Delta E(^3A_2' - ^1B_1)$, kcal/mol
DZ SCF	-154.8295	15.1
DZ + central d SCF	-154.8482	14.9
DZ + d SCF	-154.8844	14.9
DZ 4e ⁻ CI ^a	-154.8787	17.6
DZ 8e ⁻ CI ^a	-154.9167	17.3
DZ 10e ⁻ CI ^a	-154.9396	14.6
DZ 14e ⁻ CI ^b	-154.9871	14.3
DZ 14e ⁻ CI ^a	-155.0063	

^a Four highest virtual orbitals excluded from CI. ^b Eight highest virtual orbitals excluded from CI.

slightly, to 14.9 kcal.

The effects of electron correlation frequently change predicted electronic excitation energies by 10 kcal/mol or more.²⁵ In the present research, the configuration interaction (CI) method was used to describe correlation effects, and the DZ basis set was adopted since d functions had little effect on ΔE at the SCF level of theory. All Hartree-Fock interacting²⁶ single and double excitations were included in the CI, and a series of ΔE values was predicted by respectively correlating the 4, 8, 10, and 14 outermost valence electrons. The largest calculation included 8723 triplet configurations, spatially adapted for the C_{2v} subgroup. The predicted singlet-triplet separation of 14.3 kcal suggests that electron correlation lowers $\Delta E(^3A_2' - ^1B_1)$ by only 0.8 kcal. Combining this with our DZ + d SCF result of 14.9 kcal suggests a "final" prediction of 14.1 kcal for the singlet-triplet separation.

One should be cautious about concluding that the theoretical prediction of $\Delta E(^3A_2' - ^1B_1) = 14$ kcal is in conflict with the 7.3-kcal experimental activation energy⁹ for TMM ring closure. Davidson and Borden have pointed out²⁷ that the 7-kcal experimental energy may not refer to the orthogonal singlet equilibrium geometry at all. They suggested that the 7 kcal might be the height (above the lowest vibrational state for the triplet surface) at which the triplet is crossed by one of the two singlet surfaces arising from the planar ¹E' state. However, preliminary calculations²⁸ have not yet provided substantive support for this possibility.

Acknowledgment. This research was supported by the U.S. National Science Foundation, Grant CHE-762261. We thank Professors E. R. Davidson, W. T. Borden, and L. Salem for helpful discussions.

References and Notes

- (1) For an interesting discussion of Moffitt and Coulson's 1948 theoretical research on trimethylenemethane, see C. A. Coulson, "Wave Mechanics: The First Fifty Years", W. C. Price, S. S. Chissick, and T. Ravensdale, Ed., Wiley, New York, 1973, p 268.
- (2) P. Dowd, *J. Am. Chem. Soc.*, **88**, 2587 (1966).
- (3) P. Dowd, *Acc. Chem. Res.*, **5**, 242 (1972).
- (4) W. R. Roth and G. Wegener, *Angew. Chem., Int. Ed. Engl.*, **14**, 758 (1975).
- (5) M. S. Platz, J. M. McBride, R. D. Little, A. Shaw, J. J. Harrison, S. E. Potter, and J. A. Berson, *J. Am. Chem. Soc.*, **98**, 5725 (1976).
- (6) M. S. Platz, D. R. Kelsey, J. A. Berson, N. J. Turro, and M. Mirbach, *J. Am. Chem. Soc.*, **99**, 2010 (1977).
- (7) P. Dowd and M. Chow, *J. Am. Chem. Soc.*, **99**, 2825 (1977).
- (8) M. S. Platz and J. A. Berson, *J. Am. Chem. Soc.*, **99**, 5178 (1977).
- (9) P. Dowd and M. Chow, *J. Am. Chem. Soc.*, **99**, 6438 (1977).
- (10) D. Cichra, M. S. Platz, and J. A. Berson, *J. Am. Chem. Soc.*, **99**, 8507 (1977).
- (11) M. J. S. Dewar and J. W. Wasson, *J. Am. Chem. Soc.*, **93**, 3081 (1971).
- (12) The first ab initio study of TMM was that of D. R. Yarkony and H. F. Schaefer, *J. Am. Chem. Soc.*, **96**, 3754 (1974).
- (13) W. J. Hehre, L. Salem, and M. R. Willcott, *J. Am. Chem. Soc.*, **96**, 4328 (1974).
- (14) D. R. Yarkony and H. F. Schaefer, *Chem. Phys. Lett.*, **35**, 291 (1975).
- (15) E. R. Davidson and W. T. Borden, *J. Chem. Phys.*, **64**, 663 (1976).
- (16) J. H. Davis and W. A. Goddard, *J. Am. Chem. Soc.*, **98**, 303 (1976).
- (17) E. R. Davidson and W. T. Borden, *J. Am. Chem. Soc.*, **99**, 2053 (1977).
- (18) J. H. Davis and W. A. Goddard, *J. Am. Chem. Soc.*, **99**, 4242 (1977).
- (19) D. A. Dixon, R. Foster, T. A. Halgren, and W. N. Lipscomb, *J. Am. Chem.*

Soc., **100**, 1359 (1978).

- (20) D. M. Hood, R. M. Pitzer, and H. F. Schaefer, *J. Am. Chem. Soc.*, **100**, 2227 (1978).
- (21) W. T. Borden, *J. Am. Chem. Soc.*, **97**, 2906 (1975).
- (22) E. R. Davidson, the 174th National Meeting of the American Chemical Society, Chicago, Ill., Aug 28-Sept 2, 1977, plenary lecture, Symposium on the Reliability of Quantum Chemical Predictions.
- (23) F. W. Bobrowicz and W. A. Goddard, "Modern Theoretical Chemistry", Vol. 3, H. F. Schaefer, Ed., Plenum Press, New York, 1977, pp 79-127.
- (24) This basis set is conventionally labeled C(9s5p/4s2p), H(4s/2s); S. Huzinaga, *J. Chem. Phys.*, **42**, 1293 (1965); T. H. Dunning, *ibid.*, **53**, 2823 (1970).
- (25) R. R. Lucchese and H. F. Schaefer, *J. Am. Chem. Soc.*, **99**, 6765 (1977); D. M. Hood and H. F. Schaefer, *J. Chem. Phys.*, **68**, 2985 (1978).
- (26) R. R. Lucchese and H. F. Schaefer, *J. Chem. Phys.*, **68**, 769 (1978).
- (27) E. R. Davidson and W. T. Borden, presented at the Conference on the Spin States of Organic Molecules, University of Colorado, Boulder, Colo., June 22-24, 1978.
- (28) E. R. Davidson, K. Tanaka, and W. T. Borden, personal communication.

Diane M. Hood, Henry F. Schaefer III*

Department of Chemistry, University of California
Berkeley, California 94720

Russell M. Pitzer

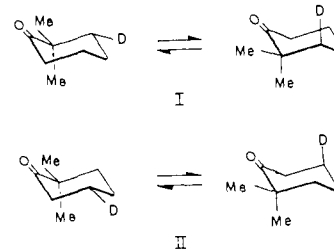
Department of Chemistry, The Ohio State University
Columbus, Ohio 43210

Received August 13, 1978

Optical Rotatory Dispersion Studies. 125.¹ Independent Evidence for Preference of Axial Deuterium vs. Axial Hydrogen through Variable-Temperature Circular Dichroism Spectra of (4S)-2,2-Dimethyl-4-deuteriocyclohexanone and (3S)-3-Deuterio-4,4-dimethylcyclohexanone

Sir:

In a recent communication² we reported on the temperature-dependent circular dichroism spectra of (3R)-2,2-dimethyl-3-deuteriocyclohexanone (I) and (5S)-2,2-dimethyl-5-deuteriocyclohexanone (II) and concluded that the observed intensity changes reflect a shift of the equilibrium toward that conformer in which the deuterium is in the axial orientation.



The enthalpy differences between both conformations were calculated to be -7.2 and -2.1 cal/mol, respectively,³ and represent the first quantitative estimates for the slight preference of axial deuterium vs. axial hydrogen. We now present independent and additional evidence supporting our earlier conclusions² through the synthesis and variable-temperature CD measurements of (4S)-2,2-dimethyl-4-deuteriocyclohexanone (III)—a substance with axial or equatorial deuterium in a symmetry plane but possessing a *gem*-dimethyl "chiral probe"²—and (3S)-3-deuterio-4,4-dimethylcyclohexanone (IV)—a compound in which the *gem*-dimethyl group cannot act as a "chiral probe"² since it lies in a symmetry plane.

(4S)-2,2-Dimethyl-4-deuteriocyclohexanone (III) was synthesized by methylation (Ireland's method⁴) of (+)-(2R,4R)-2-methyl-4-isopropenylcyclohexanone⁵ (optical purity >95%) to (4R)-2,2-dimethyl-4-isopropenylcyclohexanone, ethylene ketal formation and successive ozonolysis,